

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.
☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 126.00

Complete if Known

Application Number 08/835,419
Filing Date 04/09/1997
First Named Inventor FRAAS
Examiner Name T. McMahon
Group Art Unit 1764
Attorney Docket No.

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
101 740	201 370
106 330	206 165
107 510	207 255
108 740	208 370
114 160	214 80

COPY OF PAPERS ORIGINALLY FILED

Fee Description	Fee Paid
Utility filing fee	
Design filing fee	
Plant filing fee	
Reissue filing fee	
Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims Independent Claims Multiple Dependent
Extra Claims Fee from below Fee Paid
-20** = X =
-3** = X =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)
103 18	203 9
102 84	202 42
104 280	204 140
109 84	209 42
110 18	210 9

Fee Description

Claims in excess of 20
Independent claims in excess of 3
Multiple dependent claim, if not paid
** Reissue independent claims over original patent
** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) Fee Code 202; 3 extra independent claims @ \$42

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 126.00

SUBMITTED BY

Name (Print/Type)

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Date

04/04/2002

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231



#16/B
14/8/02
R

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
FRAAS et al.

Serial No. 08/835,419

Filed: April 9, 1997

MAILED

COPY OF PAPERS
ORIGINALLY FILED

APR 15 2002

GROUP 1700

Art Unit: 1764

Examiner: T. McMahon

For: PRETREATMENT PROCESS TO REMOVE OXYGEN FROM COAL EN ROUTE
TO A COAL PYROLYSIS PROCESS AS A MEANS OF IMPROVING THE
QUALITY OF THE HYDROCARBON LIQUID PRODUCT

RESPONSE

To the Director of the Patent and Trademark Office

Sir:

In response to the Board Decision, Paper No. 15, dated March 20, 2002, kindly amend the application as follows.

Kindly enter and approve the following, which is a clean copy of each replacement paragraph/section/claim incorporating the respective changes made, previously as well as concurrently, with the concurrent changes shows on separate sheet(s) attached hereto and entitled "Version with markings to show changes made".

No new matter has been added by the amendment(s).

CURRENT VERSION UNDER 37 C.F.R. 1.121

In the Claims:

Kindly cancel claims 1 and 15 without prejudice and combine them into their parent claims 1 and 11.

04/05/2002 CNGUYEN 00000070 08835419

01 FC:202

126.00 OP

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08/835,419